

---

# Models of Computation made easy

Mike Spivey,  
Michaelmas Term, 2014

There are a couple of places where arguments given in the notes for *Models of Computation* could be streamlined. I'm recording the suggestions here as a help to my own pupils and a suggestion for teaching the course in the future.

## 1 Product construction

A product construction proves using DFA's that the class of regular languages is closed under union. If  $M_1 = (Q^1, \Sigma, \delta^1, q_0^1, F^1)$  and  $M_2 = (Q^2, \Sigma, \delta^2, q_0^2, F^2)$  are two DFA's then we can construct a DFA  $M$  that accepts  $L(M_1) \cup L(M_2)$  by setting  $M = (Q, \Sigma, \delta, q_0, F)$ , where  $Q = Q^1 \times Q^2$  and

$$\delta(\langle q_1, q_2 \rangle, x) = \langle \delta^1(q_1, x), \delta^2(q_2, x) \rangle$$

and  $q_0 = \langle q_0^1, q_0^2 \rangle$  and

$$F = (F^1 \times Q^2) \cup (Q^1 \times F^2) = \{ \langle q_1, q_2 \rangle \in Q \mid q_1 \in F^1 \text{ or } q_2 \in F^2 \}.$$

The notes give a lengthy proof that  $L(M) = L(M_1) \cup L(M_2)$  by talking about sequences of states and showing inclusion both ways, when in fact there is a much shorter proof. For observe that  $w \in L(M_1)$  if and only if  $\hat{\delta}^1(q_0^1, w) \in F^1$ , where  $\hat{\delta}^1$  is the obvious extension of  $\delta^1$  to strings  $w$ ; the same holds for  $M_2$  and for  $M$ . It's easy to see, because the  $\delta$  for  $M$  acts independently on the two components, that

$$\hat{\delta}(\langle q_1, q_2 \rangle, w) = \langle \hat{\delta}^1(q_1, w), \hat{\delta}^2(q_2, w) \rangle.$$

## 2 Models of Computation made easy

Thus we may reason as follows:

$$\begin{aligned}w \in L(M) &\iff \hat{\delta}(q_0, w) \in F \\ &\iff \langle \hat{\delta}^1(q_0^1, w), \hat{\delta}^2(q_0^2, w) \rangle \in (F^1 \times Q^2) \cup (Q^1 \times F^2) \\ &\iff \hat{\delta}^1(q_0^1, w) \in F^1 \text{ or } \hat{\delta}^2(q_0^2, w) \in F^2 \\ &\iff w \in L(M_1) \text{ or } w \in L(M_2).\end{aligned}$$

No explicit sequences of states, no dot-dot-dot's are needed, just a simple chain of equivalences.

## 2 Pumping lemma

As stated in the course, the pumping lemma is as follows: Let  $M$  be any DFA; then  $M$  has a pumping length  $p$  such that

If  $w \in L(M)$  and  $|w| \geq p$ ,  
then  $w$  can be written  $w = xyz$  with  $y \neq \epsilon$  and  $|xy| \leq p$ ,  
so that  $xy^kz \in L(M)$  for all  $k \geq 0$ .

This formulation is valid, but attempting to apply it in concrete situations leads to knavish tricks. For example, to show that the language  $L_1 = \{0^m1^n \mid m \neq n\}$  is not regular, one is led to consider the string  $0^{p!}1^{2p!}$ , with the factorials appearing simply in order to enable the two blocks to match in length after pumping.<sup>1</sup> This knavishness can be avoided if we use a corollary to the pumping lemma:

If  $u$  is a string with  $|u| \geq p$ ,  
then  $u$  can be written  $u = xyz$  with  $y \neq \epsilon$ ,  
so that if  $uv \in L(M)$  for any string  $v$ ,  
then  $xy^kzv \in L(M)$  for all  $k \geq 0$ .

The proof is essentially the same, but the applications are easier. In the case of language  $L_1$ , we begin with  $u = 0^p$  so that  $x = 0^a$ ,  $y = 0^b$ ,  $z = 0^c$  with  $p = a + b + c$  and  $b \neq 0$ . Consider the string  $v = 1^q$  where  $q = p + b$ . We have  $uv = 0^p1^q \in L_1$ , so also  $xy^2zv = 0^q1^q \in L_1$ , a contradiction. No factorials required.

---

<sup>1</sup> I call this trick knavish because, although it is ingenious and works for this example, other examples that are as obviously non-regular will require an inexhaustible repertoire of other tricks.